

#3 2879

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney/Docket No. 040849/0143

Applicant: Edward B. STOKES et. al.

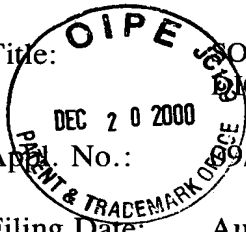
Title: SOLID STATE ILLUMINATION SYSTEM CONTAINING A LIGHT-EMITTING DIODE, A LIGHT SCATTERING MATERIAL AND A LUMINESCENT MATERIAL

App. No.: 09/642,655

Filing Date: August 22, 2000

Examiner: Unknown

Art Unit: 2879



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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56 and §1.97

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on a modified Form PTO-1449 is a listing of documents known to applicant in order to comply with applicants' duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97-1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

TIMING AND RELEVANCE OF THE DISCLOSURE

The instant Information Disclosure Statement is filed in accordance with 37 CFR §1.97(b), before the mailing of a first Office Action on its merits, no fee is required in connection with its filing. However, should the requirements of section 1.97(b) not be met, then the Commissioner is hereby authorized to charge any necessary fees in connection with this statement under §1.97(c) to Deposit Account No. 19-0741.

Applicants respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

12/20/00
Date

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The Commissioner is hereby authorized to charge any necessary fees in connection with this Statement to Deposit Account No. 19-0741.